10/273120

## 14P20PCS'0 POT/7TO 23 MAR 2006

Express Mail Label No. EV 627252392 US Dated: March 23, 2006

Docket No.: SONYJP 3.3-412 (PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Kawate et al.

International Application No.: PCT/JP2004/011030

Group Art Unit: N/A

International Filing Date: July 27, 2004

Examiner: Not Yet Assigned

For: RECORDING APPARATUS,

REPRODUCTION APPARATUS, FILE MANAGEMENT METHODS, FILE MANAGEMENT METHOD PROGRAMS AND

RECORDING MEDIUMS FOR RECORDING FILE MANAGEMENT METHOD PROGRAMS

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

It is respectfully requested that the references listed on the enclosed Form PTO-1449 be made of record and considered with respect to the above-referenced U.S. patent application. A copy of each reference is enclosed. These references were cited in the background section of the present specification and/or a corresponding International Search Report, a copy of which is enclosed. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: March 23, 2006

Respectfully submitted

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,

KRUMHOLZ & MENTLIK, LLP

600 South Avenue West

Westfield, New Jersey 07090

(908) 654-5000

Attorney for Applicant

## IAP20Regid DETIPTO 23 MAR 2006

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/B/PTO				Complete if Known		
				Application Number	Not Yet Assigned CU	
11	<b>NFORMATION</b>	1 DI	SCLOSURE	Filing Date	Concurrently Herewith	
S	TATEMENT E	3Y /	APPLICANT	First Named Inventor	Fumitaka Kawate	
				Art Unit	N/A	
	(Use as many sheets as necessary)			Examiner Name	Not Yet Assigned	
Sheet	1	of	1	Attorney Docket Number	SONYJP 3.3-412	

U.S. PATENT DOCUMENTS					
1		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA*	US-2003/0182297-A1	09-25-2003	Murakami et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			Passages or Relevant Figures Appear	T <sup>6</sup>
	BA	JP-2002-278996-A	09-27-2002	Sony Corp.		1A
	BB	JP-03-141090-A	06-17-1991	NEC Home Electron Ltd		**
	BC	JP-3092612-Z2	12-25-2002	Funai Electric Co., Ltd.		
	BD	JP-09-213056-A	08-15-1997	Sharp Corp		**
	BE	JP-07-262059-A	10-13-1995	Sony Corp		**
	BF	JP-2001-084705-A	03-30-2001	Sony Corp		**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**With	<b>Enalish</b>	Abstract	Only

1A – U.S. Publication 2003/0182297 A1 is an English counterpart

Examiner	Date
Signature	Considered
641968 1.DOC	

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.